

## (Only for new nonprovisional applications under 37 CFR 1.53(b))

9512-002-27

Joel Brian DERRICO

# CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER

## APPLICATION ELEMENTS

- |                 |   |  |
|-----------------|---|--|
| PTO<br>DIVISION | <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b><br>(Submit an original and a duplicate for fee processing) |  |
|                 | <input checked="" type="checkbox"/> <b>Applicant claims small entity status.</b>  |  |
|                 | 2. <input checked="" type="checkbox"/> <b>Specification</b>   | Total Pages <span style="border: 1px solid black; padding: 2px 10px;"><b>23</b></span> |
|                 | 3. <input checked="" type="checkbox"/> <b>Drawing(s) (35 U.S.C. 113)</b>  | Total Sheets <span style="border: 1px solid black; padding: 2px 10px;"><b>5</b></span> |
|                 | 4. <input checked="" type="checkbox"/> <b>Oath or Declaration</b>   | Total Pages <span style="border: 1px solid black; padding: 2px 10px;"><b>3</b></span>  |

a. ☒ **Newly executed (original)**

b. ☐ **Copy from a prior application (37 C.F.R. §1.63(d))**  
(for continuation/divisional with box 16 completed)

5. ☐ **Incorporation By Reference** *(usable if box 4B is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application no.:  
*Prior application information:*      Examiner:      Group Art Unit:


17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☐ This application claims priority of provisional application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	November 16, 2001	Telephone	202-861-3900
Name	Kenneth K.D. Vu	Registration No.		46,323	

# FEE TRANSMITTAL

Docket No	9512-002-27
Serial No.	NEW PATENT APPLICATION
Filing Date	HEREWITH
Inventor(s)	Joel Brian DERRICO et al.
Group Art Unit	
Examiner	

TOTAL AMOUNT OF PAYMENT \$665.00

1. ☒ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

2. ☒ Check enclosed.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam fee	
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$370.00


#### 2. EXTRA CLAIM FEES

tot. claims	39	-	20*	=	19	x	\$9	=	171	121	280	221	140	Request for Oral Hearing		
ind. claims	5	-	3*	=	2	x	\$42	=	84	142	1280	242	640	Utility/Reissue Issue Fee		
<input type="checkbox"/>	Multiple Dependent Claims							\$140	=		143	460	243	230	Design Issue Fee	
Large Entity		Small Entity		Fee Description						144	620	244	310	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	122	130	Petitions to the Commissioner		
103	18	203	9	Claims in excess of 20						126	180	126	180	IDS Submission		
102	84	202	42	Independent claims in excess of 3						581	40	581	40	Assignment	40.00	
104	280	204	140	Multiple dependent claim, if not paid						179	740	279	370	For Filing RCE		
109	84	209	42	*Reissue independent claims over original patent						169	900	169	900	Expedited Design		
110	18	210	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below)						

SUBTOTAL (2) \$255.00

\* or number previously paid, if greater, For Reissues, see above

SUBTOTAL (3) \$40.00

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